

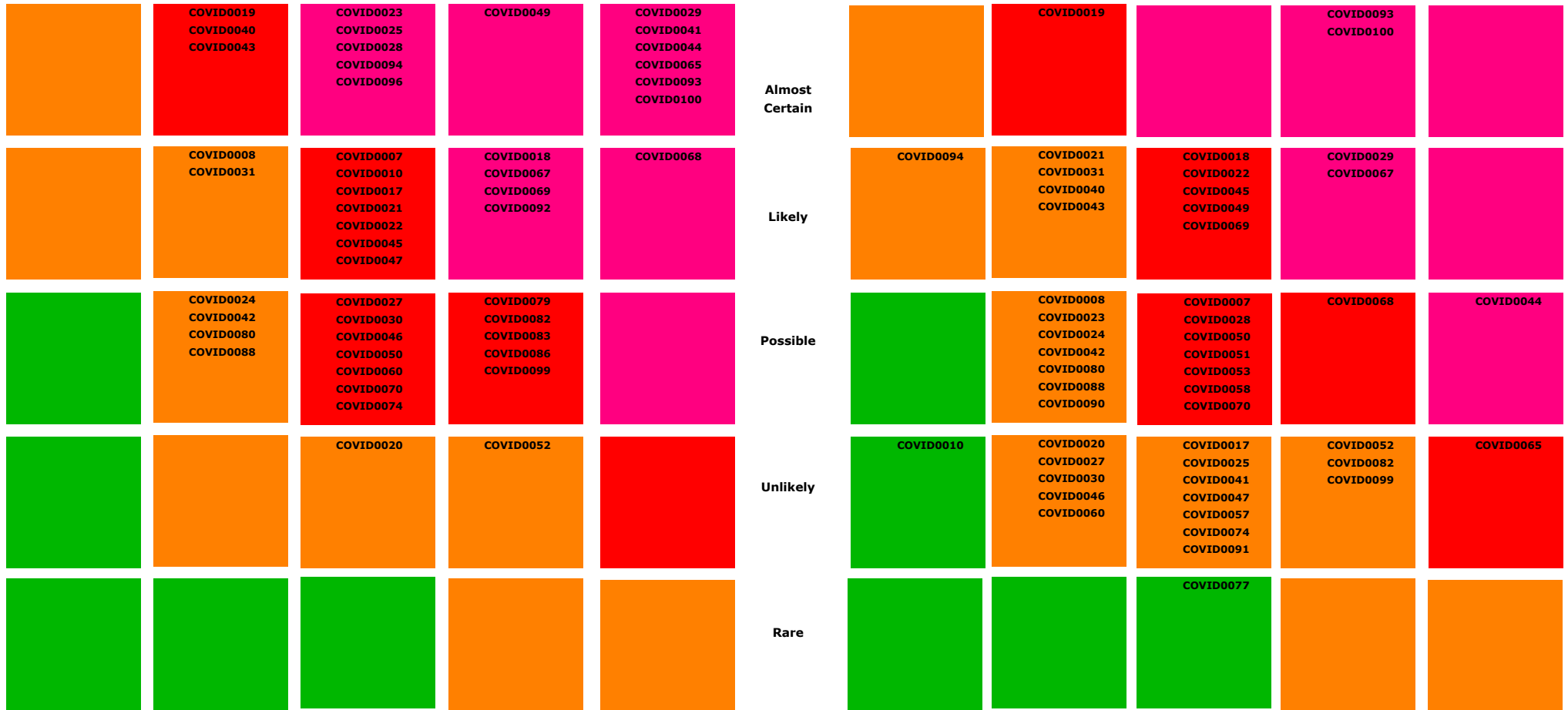
Heatmap Inherent and Current



Inherent Ratings Summary Heatmap

Residual Ratings Summary Heatmap

Probability



Insignificant

Minor

Moderate

Major

Catastrophic

Impact

Insignificant

Minor

Moderate

Major

Catastrophic

Impact

Detailed Risk Information

Inherent & Residual Rating Changes since 15/05/2020

Risk Ref	Risk Identified	Owner	Prev Inherent Rating	->	Inherent Rating	Prev Residual Rating	->	Residual Rating
COVID0093	Recovery - Precarious public service finances, with significant short and medium-term pressures faced particularly by local authorities along with future uncertainty around the UK Government's budgetary response to the economic fallout of COVID 19 and the impact of Brexit.	Jane Thomas	No Previous		25	No Previous		20
COVID0100	Disruption to pupils' learning caused by to a positive Co-Vid 19 test within a bubble, cohort, school or travelling to school.	Lynette Lovell	No Previous		25	No Previous		20
COVID0029	Response Revenue Budget Risk	Jane Thomas	16 9	↗	25	16 1	↗	16
COVID0067	Response Health and wellbeing of children and young people	Jan Coles	16 0	→	16	16		16
COVID0044	Response Impact of COVID-19, including: Service User Illness and death; Unpaid Carer Illness; Staff Resource (further and unmanageable reduction through illness and self-isolation); Service provision volatility;	Dylan Owen	25 0	→	25	20 -5	↘	15
COVID0018	Response Additional costs arising from Contract obligations	Vincent Hanly	16 0	→	16	12		12
COVID0022	Response Contract Award/ Development Delays in procurement process	Vincent Hanly	12 0	→	12	12 -4	↘	12
COVID0045	Response Impact of Covid-19 restrictions and redeployment on the capacity of the Planning & Public Protection Service.	Gwilym Davies	12 0	→	12	12 4	↗	12
COVID0049	Response HOUSING - Increase in homelessness - Additional duties to accommodate extra groups and enable self isolation in accordance with COVID19.	Dafydd Evans	20 0	→	20	12 3	↗	12
COVID0068	Response Children's Service Placement Availability	Jan Coles	20 0	→	20	12		12
COVID0069	Response Increased demand on Children's Services	Jan Coles	16 0	→	16	12		12
COVID0019	Response Exemptions to keep exiting contracts	Vincent Hanly	10 0	→	10	10 -5	↘	10


Detailed Risk Information

Inherent & Residual Rating Changes since 15/05/2020

Risk Ref	Risk Identified	Owner	Prev Inherent Rating	->	Inherent Rating	Prev Residual Rating	->	Residual Rating
COVID0065	Response If there is a lack of appropriate equipment to mitigate the impact of COVID-19	Dylan Owen	25 0	➔	25	20 -10	➔	10
COVID0007	Response LEISURE/SPORT Leisure Contractor significantly affected by COVID-19 situation	Jenny Ashton	12 0	➔	12	9 -3	➔	9
COVID0028	Response Insurance Risk – increase in claims and more undefendable at court, higher cost	Anne Phillips	15 0	➔	15	9 -3	➔	9
COVID0050	Response HOUSING: Lockdown restrictions (commencing 24.03.20) may lead to an increase in domestic violence and anti-social behaviour with a potential for civil unrest. (This may also increase demand for temporary accommodation) Crosslinked with Social Services+ Adult Social Care (Please refer to COVID0049 for matters relating to homelessness).	Andy Thompson	9 0	➔	9	9		9
COVID0051	Response HOUSING - Failure to adhere to repair and maintenance responsibilities to municipal homes, which could include statutory testing and structural maintenance, due to difficulties in arranging for technicians to attend properties and/or access to materials.	Andy Thompson	12 0	➔	12	9 -3	➔	9
COVID0053	Recovery HOUSING Loss of, or disruption to, income of tenants may reduce income to the HRA.	Andy Thompson	12 0	➔	12	9 3	➔	9
COVID0058	Response Post Inspection Action Plan (PIAP) for Estyn - Coronavirus may impact on the ability of the service to maintain the level of progress against the PIAP. In particular Recommendation 1 of the PIAP - 'Improve standards in secondary schools and more able learners' as schools are currently closed. Also, Recommendation 4 (the School Transformation/Reorganisation programme) could be affected by the Council's ability to conduct strategy consultations relating to Schools Organisation.	Lynette Lovell	12 0	➔	12	12 3	➔	9
COVID0070	Response HOUSING _ COMPLIANCE This requires access into our tenant's homes to carry out inspections and servicing. Some tenants are in self isolation and do want engineers and tradesmen coming into their homes.	Mark Davies	9 0	➔	9	9		9
COVID0079	Response National data modelling and reporting does not reflect what is happening on the ground	Nigel Brinn	12 0	➔	12	9		9
COVID0083	Recovery Possible second surge of COVID19 cases and deaths.	Nigel Brinn	No Previous		12	No Previous		9

Detailed Risk Information

Inherent & Residual Rating Changes since 15/05/2020

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COVID0086	Recovery The supply of PPE for public services, particularly as public consumption of PPE is likely to increase as lock-down eases and in line with Government guidance.	Clive Pinney	No Previous		12	No Previous		9
COVID0092	Recovery Socio-economic challenges given the immediate economic impact and likely recession, including increased unemployment and take-up of universal credit and other benefits	Nigel Brinn	No Previous		16	No Previous		9
COVID0096	Response Reduced reputation for the council if communications with stakeholders is poor, especially around any differences of approach between England and Wales.	Emma Palmer	No Previous		15	No Previous		9
COVID0098	Response Risk of legal challenge from providers on interpretation and implementation of eligibility for access to the Welsh Government Hardship Fund for social care providers. The concern is about the guidance provided on the hardship fund and the recent confusion from receiving slightly different guidance via the ADSS Cymru. PCC has worked closely with care providers to support them and to enable them to access the hardship fund. The original WG guidance set out that the fund could be used to pay for: "...loss of income and double running costs that arise because a care home has experienced a death or deaths as a result of Covid-19". The WG clarification shared a few days later advised that the fund could be used to pay: "...where additional costs, including double commissioning costs, arise because a care home has experienced a death or deaths as a result of Covid-19 thereby creating a vacancy or void. Therefore funding could be used in this instance to cover that void, or to commission a placement elsewhere were it was not possible to do so with that home." We have worked accordingly. The new guidance by the ADSS suggests that the hardship fund can pay for voids in care homes that were not created by COVID-19: "Taking account of your local decision making and discretion in relation to claims, we will remove the bullet on p7 of the guidance which details that the Hardship Fund does not cover "lost income other than where it relates directly from a COVID-19 death". Further clarification sought has not helped understanding. We have already received a further claim from a provider who advise that they have seen the further guidance and wish to claim for a significantly more money. To change the interpretation of the guidance in any way at this point would require that we prepare a new pro-forma for providers to complete and submit; make contact with all service providers in order to request their consideration, amended calculation and submission for the past 4 months; to receive, scrutinise and pay them; and to submit our claim in 3 working days. The chosen option (following a discussion with WG Civil Servants) is to refuse the application received and to not offer the opportunity to other providers.	Dylan Owen	No Previous		9	No Previous		9

Detailed Risk Information

Inherent & Residual Rating Changes since 15/05/2020

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COVID0021	Response Contract Cessation/ Suspension	Vincent Hanly	12 0	➔	12	8 -8	➔	8
COVID0031	CATERING Significant Loss of Income from school meals.	Jason Rawbone	10 -2	➔	8	10 -2	➔	8
COVID0040	Response General recruitment across council ceasing/reducing	Paul Bradshaw	10 0	➔	10	8 -4	➔	8
COVID0043	Response New Starters not having an appropriate induction process.	Paul Bradshaw	10 0	➔	10	8 -4	➔	8
COVID0052	Recovery HOUSING Failure to progress major works, including construction of new homes, may lead to financial losses to contractors and to the Council.	Andy Thompson	12 -4	➔	8	12 -4	➔	8
COVID0082	Recovery As all school buildings have now reopened and pupils are attending school, there is an increased risk of infection for school staff and pupils. All pupils have to attend from 14th September 2020, and with the return of all pupils to school, this may increase the risk of infection.	Lynette Lovell	No Previous		12	No Previous	➔	8
COVID0099	Increased visits to hospital sites and homes in the community by social workers. The plan is to increase the presence of social workers on hospital sites and where appropriate support hospital discharges, attend MDTs and increase visits to those with sensory loss and business critical needs in the community.	Adam Greenow	No Previous		12	No Previous		8
COVID0008	Response LEISURE/SPORT As a result of the COVID-19 outbreak, residents and communities become sedentary, participation and activity levels decrease, people's health & well-being deteriorates (physical, mental, social & emotional). Sport & Active Development Team unable to deliver face to face activities, programmes, events, advice (including funding) and schemes	Jenny Ashton	8 0	➔	8	6 -6	➔	6
COVID0017	Response Supply Chain Risk Shortages of staff to maintain processing of orders and payments	Jane Thomas	12 0	➔	12	6 -3	➔	6
COVID0023	Response Companies liquidating	Vincent Hanly	15 0	➔	15	9 -3	➔	6
COVID0024	Response Potential Insolvency of participating Pension Fund Employers	Chris Hurst	6 0	➔	6	6		6

Detailed Risk Information

Inherent & Residual Rating Changes since 15/05/2020

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COVID0025	Response Treasury Management -Maintaining the Authorities Cash flow to meet liabilities Reduced Levels of income coming into the Council	Anne Phillips	15 0	➔	15	9 -3	➔	6
COVID0041	Response People Handling Training Provision	Paul Bradshaw	25 0	➔	25	12 -6	➔	6
COVID0042	Response First Aid Training Provision - ability and safety of front line staff to keep themselves and others safe following a first aid incident.	Paul Bradshaw	6 0	➔	6	6		6
COVID0047	Response Waste and Recycling not collected from households.	Matthew Perry	12 0	➔	12	6		6
COVID0057	Recovery EXTRA CARE - HOUSING - Lack of demand for extra care resulting from COVID-19 (Coronavirus) pandemic Crosslinked with Social Services+ Adult Social Care	Rosalyn Murphy	12 0	➔	12	6 -2	➔	6
COVID0074	Response WCCIS availability impacting services areas ability to carry out work during Covid 19 Pandemic	Diane Reynolds	9 0	➔	9	6 -3	➔	6
COVID0080	Response/Recovery Inability to provide an effective and efficient Contact Tracing service by PCC / PTHB to prevent the spread of Covid 19	Nigel Brinn	6 0	➔	6	6		6
COVID0088	Recovery Protracted civil restrictions (lockdown and social distancing measures)	Gwilym Davies	No Previous		6	No Previous		6
COVID0090	Recovery Workforce health and wellbeing	Paul Bradshaw	No Previous		12	No Previous		6
COVID0091	Recovery Ability to maintain remote working and social distancing in Council buildings for staff and visitors	Gwilym Davies	No Previous		9	No Previous		6
COVID0020	Response Logistics problems	Vincent Hanly	6 0	➔	6	4 -5	➔	4
COVID0027	Response Unable to Maintain Imprest Accounts	Anne Phillips	9 0	➔	9	6 -2	➔	4

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Inherent & Residual Rating Changes since 15/05/2020

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COVID0030	Response Closing of Accounts and Statutory publication of Statement of Accounts and Whole of Government Accounts Pension Fund Accounts - Failure to complete	Jane Thomas	9 0	→	9	4 -2	↓	4
COVID0046	Response Public highways become unsafe.	Brent Campbell	9 0	→	9	4 -2	↓	4
COVID0060	Response Reduced reputation for the council if pandemic is not managed well	Nigel Brinn	9 0	→	9	4		4
COVID0094	Recovery The effects of COVID19 reduce the Council's ability to deliver the commitments contained in Vision 2025 (including the Transformation Programme), Towards 2040, the Health and Care Strategy.	Emma Palmer	No Previous		15	No Previous	↓	4
COVID0077	Response There is a risk that not all learners are able to access digital devices and have reliable remote connectivity in the event of a school closure or a local lockdown.	Lynette Lovell	20 -11	↓	9	9 -6	↓	3
COVID0010	Response Failure to provide accurate and timely information to inform decision making	Emma Palmer	12 0	→	12	4 -2	↓	2

Report Selection Criteria

Status Flag=ACTIVE - Business Unit Code=COVID