

Risk Register

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
Risk Ref	Risk Identified	Potential Consequence	Owner			Control or Action	Status	Review Date
COVID0093	Recovery - Precarious public service finances, with significant short and medium-term pressures faced particularly by local authorities along with future uncertainty around the UK Government's budgetary response to the economic fallout of COVID 19 and the impact of Brexit.	If the Council is unable to balance its 2020-21 budget due to loss of income, increased demand for services and associated costs and a delay in delivery of planned budget reductions, this will result in the council having to reduce services and staffing numbers to bring expenditure in line with income.	<i>Cllr Aled Davies</i> Jane Thomas	25	20	<ul style="list-style-type: none"> Reassessment of the activities of the Council through the Recovery Coordination Group Ongoing discussion with WG and WLGA through Society of Welsh Treasurers for Future Funding of Local Government Revise the Medium Term Financial Strategy Review budget position at end of first quarter and consider changes to the 2020/21 budget 	Action In Progress Action In Progress Action In Progress Control In Place	10/12/2020 04/12/2020 30/11/2020
COVID0100	Disruption to pupils' learning caused by to a positive Co-Vid 19 test within a bubble, cohort, school or travelling to school.	The advice of the public health officers is that pupils and staff may have to self-isolate for 14 days and this would have an impact on their continuity of learning.	<i>Cllr Phyl Davies</i> Lynette Lovell	25	20	<ul style="list-style-type: none"> WG Guidance 	Control In Place	
COVID0029	Response Revenue Budget Risk	<p>Unable to deliver a balanced budget for 2020/21</p> <p>Undelivery of Cost Reductions</p> <p>Increased costs across the organisation</p> <p>Impact on the Councils Reserves</p> <p>Impact on Medium Term Financial Strategy</p>	<i>Cllr Aled Davies</i> Jane Thomas	25	16	<ul style="list-style-type: none"> better understanding of all cost pressures, income losses, savings risks and cost savings Ongoing discussion with WG Reassess Budget Position monthly, Report and review mitigating action Ensure appropriate recording of additional costs to enable claim through WG COVID hardship fund Budget Management and virement reg's reinforced, decisions at service level that exceed service budgets must be escalated to EMT 	Action In Progress Action In Progress Action In Progress Action Completed Control In Place	14/01/2021 18/12/2020 10/12/2020

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COVID0044	Response Impact of COVID-19, including: Service User Illness and death; Unpaid Carer Illness; Staff Resource (further and unmanageable reduction through illness and self-isolation); Service provision volatility;	Unable to fulfil statutory obligations; Inability to provide care to service users; Service users are unsafe; Unpaid carers are unsafe; Staff are unsafe; Provider failure risk (residential, supported living, domiciliary care); Children's providers' failure – leading to vulnerable children at risk.	<i>Cllr Myfanwy Alexander Dylan Owen</i>	25	15	<ul style="list-style-type: none"> • Testing for front-line staff • Ongoing staff redeployment (from within Adult Social Care and other Council service areas) • Provision of appropriate Personal Protective Equipment • Rapid recruitment for care staff • Block booked residential care beds to lock-in step down capacity • Work with providers to ensure flexibility and sustainability (pay on planned care) • Prioritise care calls – priority 1 • Work with C-SERT to support priority 2 calls • Adult services daily sitreps 	Action In Progress Action In Progress Action In Progress Action In Progress Action Completed Control In Place Control In Place Control In Place Control In Place	20/01/2021 19/01/2021 19/01/2021
COVID0065	Response If there is a lack of appropriate equipment to mitigate the impact of COVID-19	Staff and carers left without protection Risk of further infection and spread - leading to possible illness and death Reduced faith in the Council - reputational damage	<i>Cllr Myfanwy Alexander Dylan Owen</i>	25	10	<ul style="list-style-type: none"> • Expecting receipt of some PPE Control/action • Attempts at procuring own supplies • Escalation to Welsh Government • Creation of systems to ensure prioritisation and provision on demand 	Action In Progress Control In Place Control In Place Control In Place	
COVID0041	Response People Handling Training Provision	There is a risk associated with stopping face to face training events as well as appointing people without prior knowledge/Training specifically in "People Handling"	<i>Cllr Graham Breeze Paul Bradshaw</i>	25	6	<ul style="list-style-type: none"> • Current trainer capacity identified and increased capacity through upskilling or revalidating other trainers • Further Adjustments to Safeguard the training provision in line with HSE Guidance 	Control In Place Control In Place	

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COVID0049	Response HOUSING - Increase in homelessness - Additional duties to accommodate extra groups and enable self isolation in accordance with COVID19.	People coming to Powys from urban areas for sanctuary; relationship disruption caused by self-isolation (increased domestic violence); street homeless requesting safe accommodation. (Longer term – loss of home due to inability to make mortgage or rent payments following loss of employment income) Additional demand for temporary accommodation (TA) – primarily from homelessness households and social care clients - may reduce ability of the Council to provide general needs accommodation to other client groups, increasing risks of overcrowding, people living in unsuitable accommodation and additional void losses to the HRA. Welsh Government issued guidance to develop Homeless Co-ordination Cell to house all rough sleepers and provide suitable temporary accommodation that allows for effective self isolation.	Cllr James Evans Dafydd Evans	20	12	<ul style="list-style-type: none"> Homeless Co-ordination Cell Move on from temporary accommodation Additional support and accommodation to be made available Stock Monitoring - Additional temporary accommodation from social housing stock and Homeless Coordination Cell established 	Action In Progress Action In Progress Action In Progress Control In Place	17/11/2020 13/11/2020 13/11/2020

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COVID0068	Response Children's Service Placement Availability	<p>Unable to support placements and children and young people are required to move</p> <p>Foster carers have no capacity to accept new placements.</p> <p>Children remaining in unsuitable accommodation.</p> <p>Disruption to children and negative impact on their emotional wellbeing and mental health.</p> <p>Unable to place Powys CLA in regulated placements in or out of county</p> <p>Increase in number of children in unregulated arrangements</p> <p>Risk of harm to children</p> <p>Unable to comply with regulations</p> <p>Financial risk to the local authority as demand for placements increases</p> <p>Reputational risk – criticism from regulators.</p> <p>Litigation risk – non-compliance with court orders and statutory expectations leading to harm.</p>	<p>Owner</p> <p><i>Cllr Rachel Powell</i> Jan Coles</p>	20	12	<ul style="list-style-type: none"> Prioritisation of work. Redeployment of workforce. Maximisation of available resources. Widen pool of placements. 	Action In Progress	07/09/2020

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COVID0067	Response Health and wellbeing of children and young people	Children may be at increased risk if they are not accessing universal and community services which form part of safety and support plans for children. Families refusing access to children subject to CP plans Some children may become unwell and require hospitalisation. Children not able to see their family members Negative impact on emotional wellbeing and mental health Increase in children meeting the eligibility criteria for statutory assessment or threshold for child protection investigation. Use of PPE to offer care causes distress and fear for the child. Limits to intervention/assessment leaves children at risk of significant harm/abuse/neglect. Increase in poor family functioning, domestic abuse, domestic violence. Negative impact on emotional wellbeing and mental health of individuals Children may not have regular meals and could have their health and wellbeing affected. Isolation of care leavers impacting on their emotional wellbeing and mental health at a time when access to support is limited Increase in incidents of self-harm and suicide.	<i>Cllr Rachel Powell</i> Jan Coles	16	16	<ul style="list-style-type: none"> Increase support for children who are/ may be at risk of harm in the light of continued schol closures Risk assessment and prioritisation of all cases. Redeployment of staff. Maximise use of available resources. Childcare Hubs are available for vulnerable children to attend Available services have been reviewed and the Early Help service has been reinstated 	Action In Progress Action In Progress Control In Place Control In Place	09/10/2020 07/09/2020
COVID0018	Response Additional costs arising from Contract obligations	Increased pressure on budgets, Capital costs could also increase.	<i>Cllr Aled Davies</i> Vincent Hanly	16	12	<ul style="list-style-type: none"> Review of all contracts to assess impact Discussions with WG with regard to impact on Grant Funded Projects - delayed spend, unable to draw down of grant in time 	Action In Progress Action In Progress	19/12/2020 14/11/2020

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						<ul style="list-style-type: none"> Identify potential issues 	Action In Progress	11/11/2020

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COVID0069	Response Increased demand on Children's Services	<p>Delay in response leaving children and adults at risk of significant harm/ abuse/ neglect.</p> <p>Unable to perform statutory functions.</p> <p>Unable to meet statutory requirements including qualifications of staff and timescales.</p> <p>Insufficient staff with suitable qualifications experience.</p> <p>Unable to respond within statutory timescales.</p> <p>Unable to undertake Section 47s Assessments.</p> <p>Increase in CLA population</p> <p>Financial implications for PCC having to intervene later with higher level of intervention.</p> <p>Reputational risk - criticism from regulators.</p> <p>Litigation risk – non-compliance with statutory expectations leading to harm.</p> <p>Unable to keep children safe.</p> <p>Shortened assessment for individuals (including staff members) to be approved as foster carers in the case of emergency, now approved and assessments are underway.</p> <p>3 QSW who have previously worked for the authority are completing application forms as sessional workers to enable them to undertake face to face visits and work on a sessional basis if they are required.</p> <p>Procedures developed and shared with regional colleagues.</p> <p>Regional consensus being achieved.</p>	<p>Owner</p> <p><i>Cllr Rachel Powell</i> Jan Coles</p>	16	12	<ul style="list-style-type: none"> • Redeploy staff, training, triage referrals and assessments, recruitment, temporary reg with SCW. • Staff have been identified to support Children's Services Front Door to meet an increase in demand 	<p>Action In Progress</p> <p>Control In Place</p>	09/09/2020

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COVID0092	Recovery Socio-economic challenges given the immediate economic impact and likely recession, including increased unemployment and take-up of universal credit and other benefits	The economic and social wellbeing of the population is expected to be negatively impacted by the COVID19 pandemic effects, increasing demand on council services	<i>Cllr James Evans</i> <i>Nigel Brinn</i>	16	9	<ul style="list-style-type: none"> Ongoing impact assessments Vibrant and Resourceful Communities Recovery Group Thriving and Sustainable Economy Recovery Group Powys County Council Business Survey Business and Economic Recovery Cell established as part of response Welsh Government Business Support Grants 	Action In Progress Action In Progress Action In Progress Action Completed Control In Place Control In Place	14/11/2020 14/11/2020 14/11/2020
COVID0028	Response Insurance Risk – increase in claims and more undefendable at court, higher cost	Services unable to maintain provision, increase in claims Temporary staff & Volunteers inadequately trained to fulfil roles Greater risks taken and inadequate records maintained	<i>Cllr Aled Davies</i> <i>Anne Phillips</i>	15	9	<ul style="list-style-type: none"> Communications, Training, risk appetite considered. guidance from insurance sent to services Provide DBS and necessary training before staff volunteers commence 	Action Completed Action Completed Control In Place	
COVID0096	Response Reduced reputation for the council if communications with stakeholders is poor, especially around any differences of approach between England and Wales.	Lack of clear communications or failure to reach the population with messages will lead to confusion about the different rules in place in England and Wales, especially on the borders.	<i>Cllr Graham Breeze</i> <i>Emma Palmer</i>	15	9	<ul style="list-style-type: none"> Service areas to work with corporate communications team to ensure clear messages. Communication manager sits on Gold and Silver Command Daily Media Briefings Share WG social media content New releases references WG regulations. Regular Cabient vlogs that references WG guidance Staff daily bulletins which shares up to date WG guidance Dedicated Covid-19 Staff Intranet Page Dedicated Covid-19 Public webpage on Council website 	Action In Progress Action In Progress Action In Progress Action In Progress Action In Progress Action In Progress Control In Place Control In Place Control In Place	11/12/2020 11/12/2020 26/11/2020 26/11/2020 26/11/2020 26/11/2020

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COVID0023	Response Companies liquidating	Companies unable to sustain loss of business or reduced working/clients etc	<i>Cllr Aled Davies</i> Vincent Hanly	15	6	<ul style="list-style-type: none"> Business relief approach clarified so some provision will be given to support our main contractors and try to remain viable Government Support for Business clarified through grants / NNDR etc Residual risk being assessed 	Action In Progress	06/01/2021
COVID0025	Response Treasury Management -Maintaining the Authorities Cash flow to meet liabilities Reduced Levels of income coming into the Council	Treasury Management Function Staff reductions Potential loss of Grant funding Fee earning services disrupted or ceased Services not raising raising Debtor invoices or invoices not sent out (post issues) Reduction in Collection Rate for Council Tax Increase in Bad debt levels or bad debt enforcement	<i>Cllr Aled Davies</i> Anne Phillips	15	6	<ul style="list-style-type: none"> reduced outgoings will mitigate reduced income WG identified grant to fund C19 additional costs, and may fund other pressures & reductions Additional Borrowing Discussion with other funding bodies, Internal comms Welsh Government open to discussions with individual authorities if problems arise Identify service income at risk and model impact of reductions Business Continuity Plan in place to maintain Treasury Management Function 	Action In Progress	06/01/2021
COVID0094	Recovery The effects of COVID19 reduce the Council's ability to deliver the commitments contained in Vision 2025 (including the Transformation Programme), Towards 2040, the Health and Care Strategy.	The financial impact on the council and the economic and social impact of COVID19 on the county results in the council being unable to achieve its planned outcomes, within a reduced financial envelope. The commitments in our plans therefore need to be reviewed and may need to be reduced.	<i>Cllr Graham Breeze</i> Emma Palmer	15	4	<ul style="list-style-type: none"> The Transformation Programme with the exception of Schools Transformation and the Growth Deal is temporarily suspended Complete sprint one -well-being assessment of COVID-19 Complete sprint two - planning post-pandemic and analysis of current council activities Complete Sprint Three: Adjust 2020-21 content of Integrated Business Plans to include short term recovery action Complete Sprint Four - Produce 2021-24 Integrated Business Plans to include medium term recovery action Support PSB to review step delivery plans 	Action In Progress	11/12/2020

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COVID0022	Response Contract Award/ Development Delays in procurement process	Companies unable to price/ or unable to provide documentation internally to tender etc Delays in delivery of funded projects eg 21st Century Schools Housing	Cllr Aled Davies Vincent Hanly	12	12	• Residual risk being explored. Consider future of capital and planned services contracts and assess against type of contract	Action In Progress	30/11/2020
COVID0045	Response Impact of Covid-19 restrictions and redeployment on the capacity of the Planning & Public Protection Service.	-Failure to deliver statutory services. -Income loss. -Failure to achieve savings targets. -Impact on the economy. -Health and safety risks.	Cllr James Evans Gwilym Davies	12	12	<ul style="list-style-type: none"> • Keep up-to-date with Welsh Government & Regulator advice and legislation. • Monitor Environmental Health staff resource requirements. Secure additional staff capacity where appropriate. • Keep service customers up-to-date on service delivery. • Implement alternative service delivery methods, where appropriate. • Recruitment to vacant Planning posts to recommence. • Secure the return of staff from redeployment where appropriate. 	Action In Progress Action In Progress Action In Progress Action In Progress Withdrawn Withdrawn	09/01/2021 04/12/2020 04/12/2020 04/12/2020

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COVID0007	Response LEISURE/SPORT Leisure Contractor significantly affected by COVID-19 situation	Freedom Leisure - (i) Significant loss of income, (ii) inability to meet contract requirements, (iii) potential redundancies though lack of funds, (v) depleted / reduced reserves, inability to pay costs, staff or suppliers, (vi) reduced ability to ensure statutory compliance, (vii) inability to complete repairs, maintenance or capital programmes, projects or works which may result in longer term loss / reduction of facilities when normal business resumes, (viii) inability to recoup sufficient funds (i.e. management fees, external funding, staffing costs) either from clients through contracts, or through Central Government support Powys CC - (i) ensure advance payments do not conflict with Government support to Freedom (ii) the leisure centres could transfer back into the Council if Freedom Leisure become insolvent (iv) the Council do not have the resources to support leisure services transferring back to an in-house model	<i>Cllr Rachel Powell</i> Jenny Ashton	12	9	• Ongoing discussions between Powys CC & Freedom Leisure in order to address this risk	Action In Progress	19/11/2020
COVID0051	Response HOUSING - Failure to adhere to repair and maintenance responsibilities to municipal homes, which could include statutory testing and structural maintenance, due to difficulties in arranging for technicians to attend properties and/or access to materials.	Increased repair costs in the future. Dissatisfaction from tenants. Rise in disrepair claims. Damage to fabric of properties.	<i>Cllr James Evans</i> Andy Thompson	12	9	• Work focus on repairs & maintenance, compliance, voids and major works	Control In Place	

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COVID0053	Recovery HOUSING Loss of, or disruption to, income of tenants may reduce income to the HRA.	A drop in income could impact on HRA work programmes	<i>Cllr James Evans Andy Thompson</i>	12	9	• Income Recovery Activity	Control In Place	
COVID0058	Response Post Inspection Action Plan (PIAP) for Estyn - Coronavirus may impact on the ability of the service to maintain the level of progress against the PIAP. In particular Recommendation 1 of the PIAP - 'Improve standards in secondary schools and more able learners' as schools are currently closed. Also, Recommendation 4 (the School Transformation/Reorganisation programme) could be affected by the Council's ability to conduct strategy consultations relating to Schools Organisation.	Progress against the PIAP may be affected due to the Covid-19 pandemic.	<i>Cllr Phyl Davies Lynette Lovell</i>	12	9	• Control • Ongoing progress / service improvement as specified in the PIAP • September Update	Action In Progress Action In Progress Action In Progress	27/01/2021 19/11/2020
COVID0079	Response National data modelling and reporting does not reflect what is happening on the ground	Media reporting uses national data giving residents an incomplete picture of what is happening in Powys. If data modelling on the trajectory of the pandemic is not consistent with actual figures and it is used it could lead to poor decision making about service provision. Residents behaviour is influenced by national data reporting which could lead to an increase in spread of the virus if actual figures of cases and deaths is higher than nationally reported figures.	<i>Cllr Graham Breeze Nigel Brinn</i>	12	9	• Weekly BI report to GOLD comparing national modelling with actual data from various sources. • PCC Communications focus on local data to set national data in context. • GOLD and SILVER use BI report and local intelligence to determine service provision required in response to the pandemic	Control In Place Control In Place Control In Place	

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COVID0083	Recovery Possible second surge of COVID19 cases and deaths.	If there is a second surge, the council will need to pause any recovery work and resume focus on Business Critical Areas only.	<i>Cllr Rosemaire Harris</i> Nigel Brinn	12	9	<ul style="list-style-type: none"> GOLD is monitoring COVID19 case/death data closely Service areas Business Continuity Plans Redeployment pool arrangements 	Control In Place Control In Place Control In Place	
COVID0086	Recovery The supply of PPE for public services, particularly as public consumption of PPE is likely to increase as lock-down eases and in line with Government guidance.	A shortage of PPE for public services would put staff and the population at risk from coming into contact with the virus.	<i>Cllr Graham Breeze</i> Clive Pinney	12	9	<ul style="list-style-type: none"> Monitor ability to procure PPE 	Control In Place	
COVID0021	Response Contract Cessation/ Suspension	Certain contracts will not be delivered in accordance with planned requirements Impact on Service provision due to project delays e.g. Schools	<i>Cllr Aled Davies</i> Vincent Hanly	12	8	<ul style="list-style-type: none"> Review contracts - not award new 	Action In Progress	30/11/2020

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COVID0082	<p>Recovery</p> <p>As all school buildings have now reopened and pupils are attending school, there is an increased risk of infection for school staff and pupils. All pupils have to attend from 14th September 2020, and with the return of all pupils to school, this may increase the risk of infection.</p>	<p>There may be an increased risk of infection of the Coronavirus for staff and pupils. Increased rates of infection may lead to partial or full school closures, with consequent childcare implications for parents. This may impact on the local economy and the provision of services.</p> <p>Delays and lack of capacity in the testing system may further impact on the availability of staff to work in schools, including where staff are self-isolating due to their own family members displaying symptoms and those family members cannot access testing or their results are delayed.</p> <p>Lack of confidence in the ability of schools to take effective measures against the coronavirus (whether based purely on generic concerns of potential higher rates of infection, or backed-up by actual experience of higher rates) may lead parents to decide to Electively Home Educate their children. This would have an impact on levels of funding into the education system.</p>	<p>Owner</p> <p><i>Cllr Phyl Davies</i> Lynette Lovell</p>	12	8	<ul style="list-style-type: none"> • WG Guidance • Guidance, Planning and Review • Guidance 	<p>Action In Progress</p> <p>Action In Progress</p> <p>Control In Place</p>	<p>18/11/2020</p> <p>18/11/2020</p>
COVID0099	<p>Increased visits to hospital sites and homes in the community by social workers. The plan is to increase the presence of social workers on hospital sites and where appropriate support hospital discharges, attend MDTs and increase visits to those with sensory loss and business critical needs in the community.</p>	<p>Increased risk of exposure to COVID -19</p>	<p><i>Cllr Myfanwy Alexander</i> Adam Greenow</p>	12	8			

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COVID0017	Response Supply Chain Risk Shortages of staff to maintain processing of orders and payments	Failure to be able to provide an adequate service for the residents of Powys. esp Social Care Disruption to other services e.g. Software Support	Cllr Aled Davies Jane Thomas	12	6	<ul style="list-style-type: none"> PPN 20 Supplier Relief considered for at risk suppliers Alternative Supply explored Contact key suppliers and providers & put in place alerts & review the business continuity arrangements for suppliers. 	Action In Progress	02/12/2020
COVID0047	Response Waste and Recycling not collected from households.	Cause health and environmental hazards and panic	Cllr Heulwen Hulme Matthew Perry	12	6	<ul style="list-style-type: none"> Redeployment of staff from other areas of service. Recruitment of additional staff 	Action In Progress	21/12/2020
COVID0057	Recovery EXTRA CARE - HOUSING - Lack of demand for extra care resulting from COVID-19 (Coronavirus) pandemic Crosslinked with Social Services+ Adult Social Care	If the worst case scenario for the COVID-19 (Coronavirus) pandemic becomes a reality this could impact upon the demand for extra care in Powys. This could lead to an unused development This risk is cross linked to Extra Care Risks (see HO0022)	Cllr Myfanwy Alexander Rosalyn Murphy	12	6	<ul style="list-style-type: none"> Planned work - Autumn/Winter 2020 Social Services implemented business continuity plan 	Action In Progress	27/10/2020
COVID0090	Recovery Workforce health and wellbeing	Staff are demonstrating signs of fatigue from three months in emergency response mode and may not have the capacity / resilience to undertake recovery concurrently with response.	Cllr Graham Breeze Paul Bradshaw	12	6	<ul style="list-style-type: none"> Recovery activity to reduce employee fatigue and promote positive return to office working where appropriate 	Action In Progress	14/12/2020

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COVID0010	Response Failure to provide accurate and timely information to inform decision making	Lack of corporate oversight Lack of oversight on vulnerable individuals Impact on public health Reactive at a time of crisis	Cllr Graham Breeze Emma Palmer	12	2	• Prioritise resources to support the intelligence of COVID-19	Action In Progress	30/11/2020
COVID0019	Response Exemptions to keep exiting contracts	Costs rise due to no re-procurement	Cllr Aled Davies Vincent Hanly	10	10	• Negotiate with suppliers well before contract end dates to negotiate better rates. Contracts reviewed individually for decision	Action In Progress	11/11/2020
COVID0040	Response General recruitment across council ceasing/reducing	Unable to conduct face to face interviews. Candidates cant access the digital platform of Skype/Teams. Best candidate not appointed Reputational damage if excluding applicants without Skype/Teams access	Cllr Graham Breeze Paul Bradshaw	10	8	• Where Skype is available to all candidates, continue, where not follow a protocol for COVID secure face to face interviewing. • COVID Recruitment process updated	Action In Progress Control In Place	24/11/2020
COVID0043	Response New Starters not having an appropriate induction process.	Staff are not corporately inducted until ICT access is provided.	Cllr Graham Breeze Paul Bradshaw	10	8	• Service Managers have a responsibility to conduct an appropriate service induction as reasonably practical • Corporate Inductions will be undertaken at a later date when practical to do so	Control In Place Control In Place	

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COVID0050	<p>Response</p> <p>HOUSING: Lockdown restrictions (commencing 24.03.20) may lead to an increase in domestic violence and anti-social behaviour with a potential for civil unrest. (This may also increase demand for temporary accommodation)</p> <p>Crosslinked with Social Services+ Adult Social Care (Please refer to COVID0049 for matters relating to homelessness).</p>	<p>Increased demand and need for temporary accommodation and support services (including Adult Social Care and Children's Services).</p> <p>Increased demand and need for social rented homes both immediately and in the future.</p> <p>Potential for civil unrest/community tensions.</p> <p>Increase in mental health issues.</p> <p>Increase in domestic violence.</p> <p>Reduction in safeguarding reporting.</p>	<p>Owner</p> <p><i>Cllr James Evans Andy Thompson</i></p>	9	9	<ul style="list-style-type: none"> • Monitor and control situation • Work with C-SERT to support the community 	<p>Control In Place</p> <p>Control In Place</p>	
COVID0070	<p>Response</p> <p>HOUSING _ COMPLIANCE</p> <p>This requires access into our tenant's homes to carry out inspections and servicing. Some tenants are in self isolation and do want engineers and tradesmen coming into their homes.</p>	<p>The risk is not being able to access the properties to carry out the remedial works or servicing as tenants or families are self-isolating. The risk of not being compliant with statutory testing against the risk of the spread of COVID 19 needs to be considered on a case by case basis. The elderly and vulnerable are most at risk as they are isolating for 12 weeks minimum.</p> <p>Dwellings out of statutory compliance due to access being refused and the lack of available contractors/engineers. Potential increased risk from out of date checks.</p>	<p><i>Cllr James Evans Mark Davies</i></p>	9	9	<ul style="list-style-type: none"> • Monitoring Contractors • Tenant engagement 	<p>Action In Progress</p> <p>Action In Progress</p>	

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COVID0098	<p>Response</p> <p>Risk of legal challenge from providers on interpretation and implementation of eligibility for access to the Welsh Government Hardship Fund for social care providers.</p> <p>The concern is about the guidance provided on the hardship fund and the recent confusion from receiving slightly different guidance via the ADSS Cymru. PCC has worked closely with care providers to support them and to enable them to access the hardship fund.</p> <p>The original WG guidance set out that the fund could be used to pay for: "...loss of income and double running costs that arise because a care home has experienced a death or deaths as a result of Covid-19". The WG clarification shared a few days later advised that the fund could be used to pay: "...where additional costs, including double commissioning costs, arise because a care home has experienced a death or deaths as a result of Covid-19 thereby creating a vacancy or void. Therefore funding could be used in this instance to cover that void, or to commission a placement elsewhere were it was not possible to do so with that home." We have worked accordingly.</p> <p>The new guidance by the ADSS suggests that the hardship fund can pay for voids in care homes that were not created by COVID-19: "Taking account of your local decision making and discretion in relation to claims, we will remove the bullet on p7 of the guidance which details that the Hardship Fund does not cover "lost income other than where it relates directly from a COVID-19 death". Further clarification</p>	<p>This could damage relations with the provider market and could leave the Council, and possibly the Welsh Government, open to challenge and/or legal proceedings, as some providers in Wales will have received preferential options.</p>	<p>Owner</p> <p><i>Cllr Myfanwy Alexander Dylan Owen</i></p>	9	9	<ul style="list-style-type: none"> Further clarification sought from Welsh Government 	Action In Progress	

COVID-19			Portfolio	Inherent	Residual	Controls and Actions		
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	<p>sought has not helped understanding.</p> <p>We have already received a further claim from a provider who advise that they have seen the further guidance and wish to claim for a significantly more money. To change the interpretation of the guidance in any way at this point would require that we prepare a new pro-forma for providers to complete and submit; make contact with all service providers in order to request their consideration, amended calculation and submission for the past 4 months; to receive, scrutinise and pay them; and to submit our claim in 3 working days.</p> <p>The chosen option (following a discussion with WG Civil Servants) is to refuse the application received and to not offer the opportunity to other providers.</p>							
COVID0074	<p>Response</p> <p>WCCIS availability impacting services areas ability to carry out work during Covid 19 Pandemic</p>	<p>Service Areas unable to carry out essential work during out of hours and weekends. WCCIS availability is required 7 days a week at the moment.</p>	<p><i>Cllr Graham Breeze</i> Diane Reynolds</p>	9	6	<ul style="list-style-type: none"> • Performance issues raised to Welsh Government through SBAR • Response to unplanned outages • Steer change management 	<p>Action In Progress</p> <p>Action Completed</p> <p>Control In Place</p>	11/12/2020
COVID0091	<p>Recovery</p> <p>Ability to maintain remote working and social distancing in Council buildings for staff and visitors</p>	<p>If staff return to office based working and social distancing measures are not adhered to then this could lead to an increase in the spread of infection.</p>	<p><i>Cllr Phyl Davies</i> Gwilym Davies</p>	9	6	<ul style="list-style-type: none"> • Develop a plan for the safe return staff and visitors to council properties. 	<p>Action In Progress</p>	01/01/2021

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COVID0027	Response Unable to Maintain Imprest Accounts	Vulnerable priority areas unable to make payments eg Social Care Clients	Cllr Aled Davies Anne Phillips	9	4	<ul style="list-style-type: none"> not all services will carry on as before post covid Weekly printing and signing of cheques at County Hall Corporate Purchase Cards to make payments scanned and emailed evidence – being explored. exploring food vouchers and use of Pay Point 	Action In Progress Action In Progress Action Completed Action Completed	04/02/2021 01/12/2020
COVID0030	Response Closing of Accounts and Statutory publication of Statement of Accounts and Whole of Government Accounts Pension Fund Accounts - Failure to complete	unable to meet deadlines and qualified accounts	Cllr Aled Davies Jane Thomas	9	4	<ul style="list-style-type: none"> Regular discussions with WAO and WG Provision in place to enable formal notification if unable to meet deadlines Business Continuity Plan 	Action Completed Action Completed Control In Place	
COVID0046	Response Public highways become unsafe.	Injury to the public. Need to assess winter gritting requirements	Cllr Heulwen Hulme Brent Campbell	9	4	<ul style="list-style-type: none"> Review resource daily 	Action In Progress	11/12/2020
COVID0060	Response Reduced reputation for the council if pandemic is not managed well	Reputation damage Stakeholders loss of confidence in the council	Cllr Graham Breeze Nigel Brinn	9	4	<ul style="list-style-type: none"> Business Continuity Plans invoked in response to pandemic The Council established strategic Gold and operational Silver coordination groups to manage pandemic response. Leader is doing weekly letter to Members, and frequent meetings are taking place with MP's / AM's 	Action In Progress Action In Progress Action In Progress	26/01/2021 26/01/2021 26/11/2020

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COVID0077	<p>Response</p> <p>There is a risk that not all learners are able to access digital devices and have reliable remote connectivity in the event of a school closure or a local lockdown.</p>	This may have a negative effect on learners' education and wellbeing.	<p>Owner</p> <p><i>Cllr Phyl Davies</i> Lynette Lovell</p>	9	3	<ul style="list-style-type: none"> Digital Learning - Access to Devices 	Action In Progress	17/11/2020
COVID0031	CATERING Significant Loss of Income from school meals.	With the reopening of schools from the 3rd September school meal uptake has been low, with many parents opting to provide packed lunches. If school meal uptake doesn't increase over the autumn/winter terms it will place the service at a significant deficit at year end.	<p><i>Cllr Phyl Davies</i> Jason Rawbone</p>	8	8	<ul style="list-style-type: none"> Promotion of school meals in Autumn term 	Action In Progress	10/12/2020
COVID0052	<p>Recovery</p> <p>HOUSING Failure to progress major works, including construction of new homes, may lead to financial losses to contractors and to the Council.</p>	<p>Increased repair costs in the future.</p> <p>Dissatisfaction from tenants and people registered with 'Homes in Powys'.</p> <p>Rise in disrepair claims.</p> <p>Damage to fabric of properties.</p> <p>Insufficient provision of new homes to meet rising demand for social and affordable housing, increasing risk of rising levels of homelessness and social consequences arising from people living in poor quality and insecure accommodation.</p>	<p><i>Cllr James Evans</i> Andy Thompson</p>	8	8	<ul style="list-style-type: none"> Local Authority New Build Capital and Project Works Materials Finance 	<p>Action In Progress</p> <p>Control In Place</p> <p>Control In Place</p> <p>Control In Place</p>	30/12/2020

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COVID0008	Response LEISURE/SPORT As a result of the COVID-19 outbreak, residents and communities become sedentary, participation and activity levels decrease, people's health & well-being deteriorates (physical, mental, social & emotional). Sport & Active Development Team unable to deliver face to face activities, programmes, events, advice (including funding) and schemes	(i) Individuals, groups, pupils, communities, targeted provision, schools, clubs and athletes will experience a reduction / loss of service, physical activity and learning opportunities. (ii) Unless people are motivated, encouraged and engaged the levels of physical activity throughout the County will reduce. (iii) A reduction in physical activity may have a long-term impact on mental health. (iv) Social isolation will have a profound impact on communication, engagement and social interaction for many people. (v) Funding applications will be delayed causing recipients unable to re-start or initiate schemes, projects and activities when delivery returns to normal (vi) if people are inactive they may become sedentary long-term which would have a negative impact on the health and well-being of individuals, communities and groups.	<i>Cllr Rachel Powell</i> Jenny Ashton	8	6	<ul style="list-style-type: none"> Virtual & remote resources to be made available Sport Wales funding for 2020-21 can be utilised flexibly during Covid-19 Sport Development Team deployed to support Childcare Hubs 	Action In Progress Control In Place Control In Place	02/12/2020
COVID0024	Response Potential Insolvency of participating Pension Fund Employers	Where Powys County Council acts as the "Guarantor" via risk sharing then the liabilities fall back onto the Powys part of the Fund. Where there is no risk sharing, then the liabilities will fall onto the rest of the participating employers within the Fund, but Powys are 91% of the Fund, so it will fall mainly onto Powys, with the remaining 9% spread over the other 22 Employers.	<i>Cllr Aled Davies</i> Chris Hurst	6	6	<ul style="list-style-type: none"> Risk Sharing Agreements and Bonds in place with the majority of Employers. 	Control In Place	

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COVID0042	Response First Aid Training Provision - ability and safety of front line staff to keep themselves and others safe following a first aid incident.	Ability to work safely and treat an incident or accident is diminished.	<i>Cllr Graham Breeze</i> Paul Bradshaw	6	6	• Alternative Renewal pathways in development - Elearning and bespoke in house course	Control In Place	
COVID0080	Response/Recovery Inability to provide an effective and efficient Contact Tracing service by PCC / PTHB to prevent the spread of Covid 19	1.Failure of Powys CC and Powys THB in partnership to deliver on the production of a fully functioning and suitable contact tracing system. Unable to fulfil obligations 2.Failure to control the spread of COVID-19 in Powys resulting in need for further lockdown, knock on effect on economy, mental health, council resource etc.. 3.No funding made available from WG to support the delivery. 4. Impact on services if large number of staff have to self isolate due to the spread of Covid 19	<i>Cllr James Evans</i> Nigel Brinn	6	6	• Need to prioritise the resources to support this project. Rapid recruitment and training required. • At a strategic level need to ensure adequate systems are in place to agree actions between PTHB and PCC. • Agree TOR actions and processes with PTHB and monitor and review the service provided. • Lobby Welsh Gov for Funding • Implement an effective contact tracing service to assist preventing the spread of Covid 19	Action In Progress Action In Progress Action In Progress Action In Progress	14/11/2020 14/11/2020 14/11/2020 14/11/2020
COVID0088	Recovery Protracted civil restrictions (lockdown and social distancing measures)	Protracted restrictions could lead to an increased risk of community tensions and public disorder	<i>Cllr James Evans</i> Gwilym Davies	6	6	• Regular monitoring of the situation by Community Safety team.	Action In Progress	01/01/2021
COVID0020	Response Logistics problems	Food deliveries to homes & schools (if still open) Increased expense/security of supply	<i>Cllr Aled Davies</i> Vincent Hanly	6	4	• Source food locally	Action In Progress	30/11/2020

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