

**CYNGOR SIR POWYS COUNTY COUNCIL.**

**AUDIT COMMITTEE**

**14<sup>th</sup> February 2019**

**REPORT AUTHOR: Corporate Policy, Assurance and Inspection Officer**

**SUBJECT: Corporate Risk Register Report Quarter 2 2018/19**

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**REPORT FOR: Discussion / Information**

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**1. Summary**

The Council is in a process of continuously improving and updating its approach to risk management to help better understand and manage the risks the Council is facing, and to increase the likelihood of achieving its objectives. Risk management is a core management discipline that supports organisational delivery. The risks that Powys County Council face are changing all the time, so the art of good risk management is to combine planning for what we know might happen with preparation for unknown situations, and to safeguard the organisation and in turn make it more resilient.

**2. Risk Management Improvement**

Risk management within Powys County Council has been reviewed, and has undergone internal audit by South West Audit Partnership (SWAP). An improvement action plan has been devised by the former Business Continuity and Risk Management Officer. This has been agreed by the Acting Director – Resources, and SWAP, and will now be implemented by the Corporate Policy, Assurance and Inspection Officer.

The electronic risk management software (JCAD Core) was agreed by Executive Management Team / Heads of Service, and is currently being rolled out across the organisation on a phased approach. Users for each service are being identified, and guidance and training is being rolled out to those users. The system will promote greater ownership of risks, as services will be required to update their risk registers themselves. Challenge and support to services on their risk registers will still take place on a quarterly basis.

Reporting will be much less onerous using JCAD, with the ability to generate reports at the touch of a button.

**3. Corporate Risk Register**

The corporate risk register had previously become too large and unwieldy, and this removes focus, and the ability to effectively manage the Council's key

risks. In light of this, a review of the risk register was completed by the Leader and the Corporate Policy, Assurance and Inspection officer. As a result of this review, a number of risks were proposed for de-escalation to service level, to ensure a fit-for-purpose corporate risk register, which is able to ensure the adequate management of risk, and safeguard the Council.

Below is a summary of the completed review: -

Risks proposed to be de-escalated to service level	15
Risks proposed to be deleted	1
<b>Risks proposed to remain on the corporate risk register</b>	<b><u>12</u></b>
<b>TOTAL NUMBER OF RISKS</b>	<b><u>28</u></b>

Cabinet approved the risks proposed for de-escalation to service level, and as a result, the risk register has reduced from 28 risks to 12 risks. Cabinet oversight of the de-escalated risks will remain, as part of the quarterly performance review meetings, where service risk registers are reported to Director and Portfolio Holder(s).

#### **4. Re-branding**

The ‘corporate risk register’ has been re-branded as the ‘strategic risk register’. This should provide greater clarity for all, and ensure that only risks which will have a strategic impact, or which require strategic input to mitigate, will be escalated to the corporate / strategic risk register, and will ensure that the strategic risk register remains a concise document which is fit-for-purpose moving forward.

#### **5. Statutory Officers**

- 5.1 Section 151 Officer – “The 151 Officer notes the content of the report.”
- 5.2 Monitoring Officer – “The Deputy Monitoring Officer notes the legal comment and has nothing further to add.”

#### **6. Future Status of the Report**

- 6.1 Not applicable.

<b>Recommendation:</b>	<b>Reason for Recommendation:</b>
<b>That Audit Committee notes the changes to the risk register and the current risks faced by the organisation.</b>	<b>To ensure the adequate management of risk, and safeguard the Council</b>

<b>Relevant Policy (ies):</b>	
<b>Within Policy:</b>	<b>Y / N</b>
<b>Within Budget:</b>	<b>Y / N</b>

<b>Relevant Local Member(s):</b>	<b>N/A</b>
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<b>Person(s) To Implement Decision:</b>	<b>N/A</b>
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<b>Date By When Decision To Be Implemented:</b>	<b>N/A</b>
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<b>Contact Officer Name:</b>	<b>Tel:</b>	<b>Fax:</b>	<b>Email:</b>
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**Background Papers used to prepare Report:**

<b>Relevant Policy (ies):</b>	
<b>Within Policy:</b>	<b>Y / N</b>
<b>Within Budget:</b>	<b>Y / N</b>

<b>Relevant Local Member(s):</b>	<b>N/A</b>
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<b>Person(s) To Implement Decision:</b>	<b>N/A</b>
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<b>Date By When Decision To Be Implemented:</b>	<b>N/A</b>
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<b>Is a review of the impact of the decision required?</b>	<b>Y / N</b>
<b>If yes, date of review</b>	
<b>Person responsible for the review</b>	
<b>Date review to be presented to Portfolio Holder/ Cabinet for information or further action</b>	

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**Background Papers used to prepare Report:**

**CABINET REPORT TEMPLATE VERSION 6**